Chapter 16
Interview with Andrew Nall, Vice President and CCO, Radiant Financial, Inc.

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1. Where did you grow up? Do you think that it has any impact on your life’s work?

I was born and raised in Garland, TX. Growing up in a middle-class neighborhood, with friends and freedom, provided a memorable childhood and a foundation for growth. Being afforded the opportunities of my childhood greatly contributed to my life’s work.

2. Where did you go to school? Why there?

I obtained my Bachelor of Science Degree in Finance from the University of Texas at Dallas (“UTD”). I chose UTD based on geography, the reputation of the business school, and cost.

3. What did you do after your first degree (if second degree, where was that done, what did you do after that?) How did you get into your first major area?

As a senior at UTD, I secured an internship with the National Association of Securities Dealers (“NASD”), which later became the Financial Industry Regulatory Authority (“FINRA”). This internship provided extensive exposure to the financial services industry and the regulation thereof. At the commencement of my internship, I was offered a full-time position with FINRA, where I remained for an additional two years.

4. Have you had any particularly significant mentors in your career?

Stacy Hagar, Special Investigator at FINRA, was a mentor in the truest sense of the word. Stacy provided me guidance, supported my professional...